Claim listing:

- (Original) A semiconductor optical waveguiding device comprising:
 - a first cladding laver;
 - a second cladding layer; and
- a waveguiding layer disposed between the first and second cladding layers and having a substantially higher refractive index than said first and second cladding layers;

wherein at least one of the first and second cladding layers includes a beam control layer in which a property of the semiconductor material varies as a function of depth through the layer, the beam control layer including a first sub-layer in which the property varies gradually from a first level to a second level, and a second sub-layer in which the property varies gradually from said second level to a third level.

- (Original) The device of claim 1 in which the third level is substantially equal to the first level
- (Currently amended) The device of claim 1 or elaim 2 in which the property that varies is 20 the composition ratio of the material.
- (Currently amended) The device of any preceding claim 1 in which the first sub-layer provides a gradually decreasing conduction band edge, and the second sub-layer provides a gradually increasing conduction band edge.
- (Currently amended) The device of any preceding claim 1 in which the first sub-layer provides a gradually increasing refractive index and the second sub-layer provides a gradually decreasing refractive index.
- (Currently amended) The device of any preceding claim 1 in which the first and second sub-layers are contiguous.
- 7. (Currently amended) The device of $\frac{1}{2}$ any preceding claim $\frac{1}{2}$ in which the first level is substantially equal to the level of the property in the adjacent cladding layer.
- (Currently amended) The device of any preceding claim 1/1 in which the third level is substantially equal to the level of the property in the adjacent cladding layer.

- (Currently amended) The device of any preceding claim 1 in which the property of the
 first sub-layer varies between the first level and the second level in a substantially linear manner.
- 10. (Currently amended) The device of any preceding claim 1 in which the property of the second sub-layer varies between the second level and the third level in a substantially linear manner.
- 11. (Currently amended) The device of any preceding claim 1 in which the first and second cladding layers are formed from a GaAs-based or InP-based system.
- (Currently amended) The device of any preceding claim 1 in which the waveguiding layer is a quantum well layer.
- 13. (Currently amended) The device of any preceding claim 1 further comprising a substrate, the first cladding layer being a layer most proximal to the substrate, the mode control layer being provided within the first cladding layer.
- 14. (Original) The device of claim 13 in which the substrate comprises GaAs, the first cladding layer and beam control layer comprises n-type A1GaAs, and the second cladding layer comprises p-doped AlGaAs.
- 15. (Currently amended) The device of any preceding claim 1 including a ridge waveguide.
- 16. (Currently amended) The device of any preceding claim 1 in which the property is refractive index, and in which the refractive index is gradually varied in the first and second beam control sub-layers by gradually varying thicknesses of alternating sub-layers of different refractive index, each alternating sub-layer having a thickness substantially less than a wavelength of light.
- (Currently amended) The device of claim 12 comprising any one or more of a laser, an
 optical modulator or and an optical amplifier.
- 18. (Original) A method of forming a semiconductor optical waveguiding device comprising the steps of:

forming a first cladding layer on a substrate;

forming a waveguiding layer on said first cladding layer, the waveguiding layer having a refractive index substantially greater than the first cladding layer;

forming a second cladding layer on said waveguiding layer, the second cladding layer having a refractive index substantially less than the waveguiding layer; and

during the step of forming said first cladding layer, forming a beam control layer therein by gradually modifying deposition conditions so as to vary a property of the semiconductor material as a function of depth through the beam control layer, such that the beam control layer includes a first sub-layer in which the property varies gradually from a first level to a second level, and a second sub-layer in which the property varies gradually from said second level to a third level